

Table of Contents

Table of Contents	iii
List of Tables	vi
List of Figures	vii
List of Acronyms/Abbreviations	viii
Chapter One	1
General introduction	1
1.1 Importance of African indigenous vegetables.....	2
1.2 The vulnerability of smallholder farmers to unexpected agricultural shocks	3
1.3 Smallholders coping strategies to production and marketing shocks	5
1.4 Building smallholder farmers resilience to production and marketing shocks	7
1.5 Rationale and objectives of the study	8
1.6 Theoretical framework.....	10
1.7 Study area and data sources	12
1.8 Methodological approaches used in the study	14
1.8.1 Hurdle or two-part model.....	14
1.8.2 Multivariate probit model	14
1.8.3 Multinomial treatment effect model.....	15
1.9 Thesis outline	15
References.....	16
Chapter Two	25
Coping with Shocks and Determinants among Indigenous Vegetable Smallholder Farmers in Kenya	25
2.1 Introduction.....	26
2.2 Literature review: Adoption of coping strategies by smallholder farmers.....	29
2.3 Materials and methods	31
2.3.1 Study area and data	31
2.3.2 The empirical model specification	32
2.4 Results and discussion	34
2.4.1 Descriptive statistics	34
2.4.2 Determinants of the decision to cope with production and marketing shocks	37
2.4.3 Determinants of the extent of coping with production and marketing shocks	42
2.5 Conclusion	44
References.....	45
Chapter Three	51

Factors influencing the choice of coping strategies to production and marketing shocks among African indigenous vegetable farmers in Kenya	51
3.1 Introduction	53
3.2 Conceptual framework	56
3.3 Materials and methods	57
3.3.1 Study area and data.....	57
3.3.2 Empirical model specification	58
3.4 Results and discussion.....	59
3.4.1 Descriptive statistics.....	59
3.4.2 Multivariate probit model estimates	62
3.5 Conclusion.....	72
References	73
Chapter Four	80
Determinants of the competitiveness of smallholder African indigenous vegetable farmers in high-value agro-food chains in Kenya: A multivariate probit regression analysis	81
4.1 Introduction	82
4.2 Data and descriptive statistics	87
4.3 Theoretical framework	89
4.4 Empirical specifications	90
4.4.1 Conceptual framework	90
4.4.2 Multivariate probit model.....	91
4.5 Results and discussion.....	92
4.5.1 Pairwise correlations	92
4.5.2 Determinants of the choice of pillars of competitiveness in HVMCs	93
4.6 Conclusions	101
References	102
Chapter Five	109
Impacts of smallholders’ level of competitiveness in high-value agro-food chains on household’s food and nutrition security in Kenya.	109
5.1 Introduction	111
5.2 An integrated model for sustainable smallholder inclusion in HVMCs.....	114
5.3 Research Methodology.....	117
5.3.1 Data sources	117
5.3.2 Household food consumption score formulation	117
5.3.3 Classification of the levels of competitiveness	119

5.3.4	Empirical model specifications	119
5.4	Results and discussion	123
5.4.1	Descriptive statistics	123
5.4.2	Determinants of smallholder farmers' level of competitiveness.....	128
5.4.3	Impacts of the level of competitiveness on household FNS status	135
5.5	Conclusions.....	136
	References.....	138
	Appendixes	146
	Chapter Six.....	148
	General discussion, and conclusion.....	149
6.1	Introduction.....	150
6.2	Empirical findings.....	151
6.2.1	Coping with shocks, and its determinants among AIV farmers.	151
6.2.2	Determinants of the choice of <i>ex-post</i> coping strategies to shocks.	153
6.2.3	Important factors for access to pillars of competitiveness in HVMCs.	154
6.2.4	Drivers of smallholder farmers' level of competitiveness in HVMCs.	156
6.2.5	FNS effect of smallholders' level of competitiveness in HVMCs.	157
6.3	Limitation of the study	158
6.4	Practical and policy implications	159
6.4.1	Establishment of sustainable linkages with input, output, and insurance markets.	159
6.4.2	Establishment of effective and efficient information access platforms.....	161
6.4.3	Access to credit	162
6.4.4	Promotion of establishment, and improvement of farmer organizations	163
6.4.5	Promotion of product grading and safety standards	164
6.5	Conclusions.....	165
	References.....	167
	Summary.....	171
	Zusammenfassung	176
	Autobiography	182
	List of publication	183
	Acknowledgement.....	186